

## Regulatory and Other Committee

### Open Report on behalf of Pete Moore, Executive Director Finance and Public Protection

Report to:	<b>Audit Committee</b>
Date:	<b>30 March 2015</b>
Subject:	<b>Internal Audit Progress Report to 18 March 2015</b>

#### Summary:

This report provides an update on progress made against the Audit Plan 2014/15 and provides summaries of all audits completed within the period 1 January to 18 March 2015.

#### Recommendation(s):

That the Committee notes the outcomes of Internal Audit work and identifies any actions it requires.

#### Background

This report provides summaries of all audit reports completed within the period 1 January to 30 March 2015. It also provides an update on the progress made against the current years Audit Plan 2014/15. Further detail can be found in Appendix A, including:

- Key messages on Internal Audit work completed or in progress
- Other significant pieces of work undertaken
- Summaries of audits with Effective or Some Improvement Required
- Performance Information
- Full report on audits rated as Inadequate or Major Improvement Required
- Other matters of interest

#### Conclusion

This progress report outlines the key findings from each audit and offers more information on those areas which received an audit rating of inadequate or major improvement required.

We are pleased to report good progress in this last quarter – during the period 1 January to 18 March 2015 we have completed 16 County audits, 6 to final report and 10 to draft report stage, almost all of the key financial control testing and a

further 4 school audits. We have also completed some independent investigations on behalf of the Council. Overall we have completed 93% of the 2014/15 audit plan.

We still have two auditors advising / supporting the Agresso implementation project – this continues to be a significant part of our work and the Chief Information and Commissioning Officer will be providing an update on this and the Mosaic project, under a separate agenda item.

The Committee should note the outcomes of the audit work undertaken and identify any action required, seeking assurance that they:

- understand the level of assurances being given as a result of audit work and the impact on the Council's governance, risk and control environment
- ensure management action has or is being taken to improve controls / manage risks identified
- confirm appropriate progress being made on the delivery of the audit plan and performance targets

## **Consultation**

### **a) Policy Proofing Actions Required**

N/A

## **Appendices**

These are listed below and attached at the back of the report	
Appendix A	Internal Audit Progress Report

## **Background Papers**

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by Lucy Pledge, who can be contacted on 01522-553692 or [lucy.pledge@lincolnshire.gov.uk](mailto:lucy.pledge@lincolnshire.gov.uk).



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